Attorney Docket: UNI.20

ENT AND TRADEMARK OFFICE

APPLICANT: QIU et al.

SERIAL NO.: 10/039,752

FILED:

JANUARY 2, 2002

TITLE:

PROCESS FOR THE PREPARATION

OF IMMUNOMODULATORY

POLYSACCHARIDES FROM ALOE

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

EXAMINER:

ART UNIT: 1651

CONFIRMATION NO.: 1617



Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

- within three months of the filing date of a national application (other than a CPA (a) under 37 CFR § 1.53(d));
- within three months of the date of entry of the national stage as set forth in 37 (b) CFR § 1.491 in an international application;
- before the mailing of a first Office Action of the merits; or (c)
- before the mailing of a first Office Action after the filing of a request for (d) continued examination under 37 CFR § 1.114.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

> 37 CFR 1.8 CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on

Respectfully submitted,

Rosemary Kellogg, #39,726

Swanson & Bratschun, L.L.C.

1745 Shea Center Drive, Suite 330

Highlands Ranch, Colorado 80129

(303) 268-0066 Telephone:

(303) 268-0065 Facsimile:

S:\CLIENT FOLDERS\UNIVERA PHARMACEUTICALS\UNI20\IDS.DOC